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FORM PTO-1390 (REV. 5-93)

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U.S. D MENT OF COMMERCE PATEN D TRADEMARK OFFICE

ATTORNEY'S ET NUMBER 10537/68

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

<u>09/485074</u>

INTERNATIONAL APPLICATION NO. **PCT/EP98/04659**

INTERNATIONAL FILING DATE (24.07.98)

PRIORITY DATES CLAIMED (02.08.97)

PC1/EP96/04659

24 July 1998

02.08.97) 02 August 1997

TITLE OF INVENTION

APPLICANT(S) FOR DO/EO/US

LAUBLE, Christian; MOSER, Franz; SCHLIMPERT, Gunther; and FLINSPACH, Roland

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information

- 1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. 🛮 A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5.

 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is transmitted herewith (required only if not transmitted by the International Bureau).
 - b.

 has been transmitted by the International Bureau.
 - c. I is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. 🛮 A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. \square have been transmitted by the International Bureau.
 - c. A have not been made; however, the time limit for making such amendments has NOT expired.
 - d. A have not been made and will not be made.
- 8. \square A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 🖲. 🛮 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
- हैं। 🗵 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. \square An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. ☐ A substitute specification.
- 15. A change of power of attorney and/or address letter.
- 16. Other items or information: International Search Report and English Translation thereof; Translation of International Preliminary Examination Report; three sheets of drawings; first page of published International Application WO 99/06730.

EXPRESS MAIL NO.: EL179957204US

430 Rec'd PCT/PTO CKET NUMBER U.S. APPLICATION NO. if known, see ITERNATIONAL APPLICATION NO. ATTORNE 37 C.F.R.1.5 PCT/EP98/04659 10537/68 CALCULATIONS | PTO USE ONLY 17. 🛛 The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$96.00 \$ 840.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** Surcharge of \$130.00 for furnishing the oath or declaration later than \square 20 \square 30 months \$ from the earliest claimed priority date (37 CFR 1.492(e)). Number Filed Claims Number Extra Rate Total Claims 10 - 20 = 0 X \$18.00 Independent Claims 4 - 3= X \$78.00 78.00 Multiple dependent claim(s) (if applicable) + \$260.00 \$ 918.00 TOTAL OF ABOVE CALCULATIONS = द्धेReduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must ≝also be filed. (Note 37 CFR 1.9, 1.27, 1.28). \$ 918.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later the \square 20 \square 30 \$ months from the earliest claimed priority date (37 CFR 1.492(f)). \$918.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$918.00 TOTAL FEES ENCLOSED = Amount to be: refunded charged \$918.00 a. 🗆 A check in the amount of \$ _ to cover the above fees is enclosed. \boxtimes Please charge my Deposit Account No. 11-0600 in the amount of \$ 918.00 to cover the above fees. A duplicate copy of this b. sheet is enclosed. c. 🖾 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. __11-0600___. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Kenyon & Kenyon One Broadway Richard L. Mayer, Reg. No. 22,490 New York, New York 10004 NAME February 2, 2000 DATE

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EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER
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TYPE OF DOCUMENT Nathand phase apply of Landle it al
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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE) (SIGNATURE OF PERSON MAILING PAPER OR FEE)
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